附件3

重点实验室认定指标测评表

实 验 室 名 称：

实验室负责人：

依 托 单 位：

交通运输部

二○二二年

**说 明**

1. 申报的实验室应提供本测评表所涉及的相关材料的原件或复印件，并按本测评指标的编号顺序分类，以备专家组核查。

2. 申报的实验室提供的核实材料如有不实，专家组将酌情扣分；如有弄虚作假行为，取消本次认定资格。

3. 指标数据统计时间为2017年6月1日至2022年5月31日。

4. 所填内容必须符合申报认定通知中的研究方向及研究内容。

重点实验室认定指标测评表

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| 一级指标 | 二级指标 | 备注 |
| 1.研究水平与贡献 | 1.1 研究能力及成果水平 |  | |
| 1.2 论文及著作 |  | |
| 1.3 承担项目情况 |  | |
| 2.梯队建设与人才培养 | 2.1 学术带头人水平 |  | |
| 2.2 学术梯队建设 |  | |
| 2.3 人才培养成效 |  | |
| 3.实验室条件建设 | 3.1 主管部门、依托单位支持情况 |  | |
| 3.2 配套设施完备性 |  | |
| 3.3 仪器设备先进性 | 鼓励自行研制设备 | |
| 4.开放交流与运行管理 | 4.1 学术交流 |  | |
| 4.2运行管理 |  | |
| 4.3 发展规划 | 包括实验室发展规划目标、研究方向及建设实施情况等 | |

一、研究水平与贡献

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| **表1 实验室科技成果情况表** | | | | | | | | | | | | | | | | | | |
| 序号 | 获奖、取得专利、纳入标准规范、取得计算机软件著作权的课题名称 | 负责人 | 时间 | 课题获奖情况 | | | | | | | | 获专利情况 | 纳入标准规范 | 取得计算机软件著作权 | 实验室 参与情况 | | | 备 注 |
| 获奖类别 | | | | | 获奖等级 | | |
| a | b | c | d | e | 一 | 二 | 三 | k1 | k2 | k3 |
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注：1.根据申报方向及重点研究内容，择优提供不多于10项代表性研究成果，并提供能证明所取得的成果水平的证明材料，如鉴定证书、评审证书、同行评价等。

2. 课题以获奖时间为准，专利以发布日期为准。

3. 一个项目获多个级别的奖，以最高级别计；一个大项目有多个子项目同时获奖，可分别计。

4. 获奖类别：a. 国家自然科学奖；b. 国家技术发明奖；c. 国家科技进步奖；d.省级三大奖；e.部级学会奖。

5. 获奖等级：是指一等奖、二等奖、三等奖。

6. 实验室参与情况栏中k1 指由实验室独立完成或由实验室主持完成的研究项目、试验等； k2指实验室为合作者之一完成的研究项目、试验等；k3 指实验室为一般参与者完成的研究项目、试验等。

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| **表 2 论文及著作统计表** | | | | |  |  | |  | |  |  | |  | | | | |  | | |  | | | | | **年人均篇数：** | | | | | | | | |  | | |  | | | |  |  | | | | | |
| 序号 | 论文及著作名称 | | 作 者 | | 三大检索系统(SCI、EI、ISTP)收录 | 国际学术会议特邀报告 | | 国际学术会议论文 | | 国际学术期刊发表论文 | | | 国内学术期刊发表论文 | | | | | | | | 国内学术会议报告 | | | | | 外文版著作 | | | | 中文版著作 | | | | | 实验室 参与情况 | | | | | | | | 备注 | | | | | |
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| 注：1.实验室择优提供不多于5篇代表性的论文或著作，以及能证明论文水平的相关材料。  2. 论文及著作只计算实验室固定人员以第一作者发表的论文或著作，且不重复记分。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. 特邀报告应有特邀函作为支撑材料。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4. 实验室参与情况栏中k1 指由实验室独立完成或由实验室主持完成的研究项目、试验等； k2指实验室为合作者之一完成的研究项目、试验等；k3 指实验室为一般参与者完成的研究项目、试验等。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **表3 承担项目情况** | | | | | | | | | | | |  | | | **统计期内年均总经费：** | | | | | | | | | | | | | | | |  | | | **统计期内年人均经费：** | | | | | | | | | |  | | | | | | |
| 序号 | | 项目名称 | | 项目  负责人 | | | 承担项目  起止时间 | | | | | 项目分类 | | | | | | | | | | | | | 实验室参与情况 | | | | | | | | | 获得总经费 (万元) | | | | | 统计期内年均经费 (万元) | | | | | 备注 | | | | | | |
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| 注：1. 实验室择优提供不多于10个代表性项目，以及能证明承担项目的相关材料。  2.所有项目均指以科研立项的项目，包括技术服务（不包括工程设计、施工监理和质量监督等）、标准、规范的制、修订等。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. 课题分类：a. 国家级；b. 省部级；c. 横向合作；d. 国际（或境外）合作；e.国家、省部级重大工程科研项目；f. 其它。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
| 4. 实验室参与情况栏中k1 指由实验室独立完成或由实验室主持完成的研究项目、试验等； k2 指实验室为合作者之一完成的研究项目、试验等；k3 指实验室为一般参与者完成的研究项目、试验等。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5. 获得总经费指本实验室实际获得的科研经费，以合同为准。 | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |  |  | | | |  | | | |  | | | | | | |  |
| 6. 统计期内年均经费按项目实际完成年数的年均值计算，未跨入统计期的经费不计入。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |  |

二、梯队建设与人才培养

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| **表4 实验室人才梯队核心成员情况表** | | | |  | |  |  |  | |  |  |
| 姓名 | 年龄 | 职称 | 研究领域 | | 主要研究成果 | | | | 参与的重大学术活动 | | 获得的科技奖励等 |
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注：1.核心成员应为实验室固定人员，不多于10名；

2.提供能证明实验室核心成员水平和所起作用的材料。

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| **表5 实验室人才培养统计表** | | | | | |  |  | |  |  | |  |  | |  | |  | |
| 被培养人才 姓名 | | 被培养人才类别 | | | | | | | | | | | k1 | | k2 | | 指导教师姓名 | |
| 学术带头人 | | 博士 | | | 硕士 | | | 45岁以下重大项目  负责人 | | |
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| 注：1. k1指实验室固定研究人员在本实验室在职攻读博士、硕士学位，且本实验室提供培养条件；k2 指与外单位合作培养。  2.提供相关获奖证明材料。 | | | | | | | | | | | | | | | | | | |

三、实验室条件建设

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| **表6 实验室仪器设备统计表** | | | |  |  | |  |  |  |
| 序号 | 设备名称 | 台（套） | 采购时间 | 产地 | | 技术状况 | | | 备注 |
| 一 | **10万元以上大型仪器设备（包括自制设备）** | | | | | | | | |
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| **二** | **优势的仪器设备（包括自制设备）** | | | | | | | | |
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注：优势的仪器设备指与研究方向相吻合，并在专业领域内属先进水平的关键仪器设备。

四、开放交流与运行管理

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| **表 7 实验室学术交流统计表** | |  |  |  |  |  |  |
| 会议 、讲学名称 | 承办国际学术会议（项） | 承办国内学术会议 （项） | 参加国际会议（人次） | 来实验室讲学的国外专家  （人次） | 来实验室讲学的国内专家  （人次） | 实验室人员  国外讲学 （人次） | 实验室人员  国内讲学  （人次） |
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| **表 8 学术委员会活动统计表** | | |  |  |  |  |  |  |
| 序号 | 学术委员会活动名称 | 活动时间 | 活动地点 | 参加人员 | 主要活动内容 | | | 备注 |
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